# Approximation Algorithms for Minimum Energy Transmission in Rate and Duty-Cycle Constrained Wireless Networks<sup>\*</sup>

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Abstract-We consider a constrained energy optimization problem for wireless networks, where the constraints arise because of interference between wireless nodes that limits their transmission rates along with load and duty-cycle (on-off) restrictions. Since traditional optimization methods using Lagrange multipliers do not work well and are computationally expensive given the non-convex constraints, we develop fully polynomial approximation schemes (FPAS) for finding the optimal (minimum energy) transmission schedule by discretizing power levels over the interference channel. For any  $\epsilon > 0$ , we develop an algorithm for computing the optimal number of discrete power levels per time slot  $(O(1/\epsilon))$ , and use this to design a  $(1, 1 + \epsilon)$ -FPAS that consumes no more energy than the optimal while violating each rate constraint by at most a  $1 + \epsilon$  factor. For wireless networks with low-cost transmitters, where nodes are restricted to transmitting at a fixed power over active time slots, we develop a 2-factor approximation for finding the optimal fixed transmission power value  $P_{opt}$  that results in the minimum energy schedule.

#### I. INTRODUCTION

Energy-efficiency is a critical concern in many wireless networks, such as cellular networks, ad-hoc networks or wireless sensor networks (WSNs) that consist of large number of sensor nodes equipped with unreplenishable and limited power resources. Since wireless communication accounts for a significant portion of node energy consumption, network lifetime and utility are dependent on the design of energy-efficient communication schemes including low-power signaling and energy-efficient multiple access protocols.

Delay is also an important constraint in many wireless network applications, for example battlefield surveillance or target tracking in which data with finite lifetime-information must be delivered before a deadline. Delay constraints in wireless networks can also be examined in terms of node operation under periodic duty cycles, in which time is divided into active (awake) and inactive (asleep) periods. [1], [2], [3] establish the idea of duty cycles in WSNs as a practical means of conserving node energy. Minimizing transmission energy subject to latency constraints has been studied [4], [5] while [6] studies energy-latency tradeoffs for data gathering. Several

approaches for maximizing information transmission over a shared channel subject to average power constraints have been proposed [7], [8], [9], [10], [11]. [12] addresses the issue of minimizing transmission power, subject to a given amount of information being successfully transmitted and derives power control multiple access (PCMA) algorithms for autonomous channel access.

In this paper, we consider a constrained energy optimization problem for wireless networks, where the constraints arise because of interference between wireless nodes that limits their transmission rates along with load and duty-cycle (on-off) restrictions. We consider N wireless nodes transmitting to their destinations over a typical Additive White Gaussian Noise (AWGN) interference channel over a time period T. These nodes could represent reasonably close neighbors communicating as part of some MAC protocol. Their receivers could be distinct or identical, representing the case when all nodes are transmitting to the same basestation or clusterhead. We assume that time T is divided into M slots of equal duration. Let  $P_{it}$  be the transmit power used by node *i* during time slot t,  $1 \leq t \leq M$ . Let  $R_{it}$  represent the achievable transmission rate for node i during time slot t over this N-node interference channel. Single user decoding is assumed at each receiver to decode the information from its own transmitter while treating the remaining information as Gaussian interference. Thus we have,

$$R_{it} = \frac{1}{2} \log_2 \left( 1 + \frac{\alpha_{ii}^t P_{it}}{\mathcal{N}_i^t + \sum_{j \neq i} \alpha_{ji}^t P_{jt}} \right), \\ 1 \le i \le N, \quad 1 \le t \le M$$
(1)

where  $\alpha_{ii}^t$  represent the channel attenuation at i's receiver due to transmitter j, which captures the effects of pathloss, shadowing and frequency nonselective fading, and  $\mathcal{N}_i^t$ represents the background interference (usually  $\mathcal{N}_i^t = \mathcal{N}_0$ ), during time slot t. We assume these parameters remain fixed over a (short) time slot of duration T/M but can vary from slot to slot.

We are interested in the following scheduling and energy minimization problem (labeled MESP: minimum energy

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scheduling problem)

minimize 
$$f: \sum_{i=1}^{N} \sum_{t=1}^{M} P_{it}$$
(2)

s.t 
$$\sum_{t=1}^{M} A_{it} R_{it} \ge \tilde{R}_i \quad i = 1, 2, \dots, N$$
 (3)

$$0 \leq P_{it} \leq P \tag{4}$$

$$A_{it} = \begin{cases} 0 \text{ if } P_{it} = 0\\ 1 \text{ otherwise} \end{cases}$$
(5)

$$\sum_{t=1}^{M} A_{it} \leq \mu_i \quad i = 1, 2, \dots, N$$
 (6)

The objective function in MESP is to determine the schedule which minimizes the total energy. Since all slots are assumed to be of fixed duration, this is equivalent to minimizing the total transmitted power. Each node must maintain an average rate constraint  $\tilde{R}_i$  over the M slots. Further, we assume that nodes operate under duty-cycles where time T is divided into active and idle time slots, wireless sensor networks for example, operate under such constraints [2], [1]. The duty-cycle constraint of node i is given by  $\mu_i$ : the maximum number of time slots it can remain active,  $1 \le \mu_i \le M$ , i = 1, 2, ..., N.  $A_{it} \in \{0, 1\}$  depending on whether the node is idle or active during slot  $t, 1 \le t \le M$ . Finally, we also assume a maximum available transmit power per time slot denoted by P. Initially, we assume that P is known apriori but later we develop algorithms for finding the optimal value of P.

Note that in our model we are assuming N transmitters transmitting over time T to a disjoint set of  $K \leq N$ receivers. When K = 1, transmitters share one receiver, e.g. a cluster head which is receiving during the time interval T. Similarly if K = N, each user has its distinct receiver during T. The accessing mechanism is not a traditional TDMA scheme in which each accessing node is assigned a unique time slot where no other nodes can be transmitters. Rather we model the interference channel and allow multiple transmitting nodes to co-exist over a time slot by choosing optimal transmit powers. In general, duplex transmissions are not required as the receivers (cluster-heads) are merely receiving. This model of halfduplex transmissions is well used, for example [13], [14], [15], where transmitters are sending to a separate set of receivers or cluster heads in half-duplex mode over a time period. Thus henceforth we use the term node/user to refer to a transmitter-receiver pair.

The number of time slots M over which transmission is optimized represents a given delay constraint for the set of N nodes and is given as a system parameter. Mis determined by the deadline T of the N transmitting nodes as well the channel coherence time  $\Delta T$  [16] during which the channel coefficients ( $\alpha_{ij}$ 's) of each node remain unchanged. The coefficients vary independently for each user and from user to user across subsequent  $\Delta T$  coherence times. For slowly varying channels, we assume

that the time slot interval represented by  $\Delta T$  remains the same over the deadline period. Thus  $M = T/\Delta T$  and we solve the scheduling and energy minimization for this prescribed M. The idea of optimizing transmission over a given set of time slots is well-modeled, for example [17]. We also note that the scope of this model and solutions is not limited to delay constrained transmissions. The system model described above can be easily translated from the time domain to the frequency domain for multi-carrier, e.g. Orthogonal Frequency Division Multiplexing (OFDM), communication systems, where M is the set of available sub-carrier frequencies, a given resource constraint [14], [18]. Each of the M slots in the time domain now becomes a frequency sub-band over which a subset of the N users transmit to a basestation. The duty cycle constraint for each user in the time domain now changes to the number of frequency bands each user can use at maximum. Each users information transmitted through its assigned sub-bands is decoded by treating other users' information as pure interference, i.e single-user decoding [19] is deployed. Therefore, without loss of generality, we focus on the time domain model in the rest of this paper. We assume at the beginning of each duty cycle, all channel coefficients can be obtained through training sequences [20], [21]. The measured channel coefficients are then fedback by the basestation/receivers to the transmitters and used to develop the optimal energy schedule in Eq. 2. As typically assumed (for example, [14], [18]), measurements and feedback of channel fading variables are assumed perfect.

It can be seen that the rate constraints above are nonconvex in the power variables  $P_{it}$ , even for the restricted version of MESP with two users (N = 2). Unfortunately this implies that traditional analytical optimization methods such as Lagrange multipliers [22] will not work well, since convexity of the constraints is a necessary condition for obtaining the global minimum using the Lagrangian  $H = f + \lambda_k g_k$  (where  $g_k$  are the constraints), and computing  $\nabla_{P_{it},\lambda_k} = 0$ . Moreover finding the global minimum through exhaustive search of all possible solutions of  $\partial h/\partial P_{it} = 0$  is likely to be computationally expensive. Alternately computing the optimal dual  $\max_{\lambda} \min_{x} h()$  introduces a duality gap which vanishes only under certain conditions on the number of constraints and parameters N and M [22], [23]. As an example of this technique, the authors in [14], [18], consider the problem of maximizing the sum transmission rate of a group of users with maximum power constraints using OFDM. The objective function could be non-concave. They consider the dual problem (whose solution has a duality gap with respect to the optimal primal) and provide an iterative search based algorithm that searches over the entire range of Lagrange multiplier values. They do not analyze the complexity of their algorithm (which appears to be exponential) but indicate correctness by showing that there are conditions under which the duality gap vanishes for large number of frequencies.

In this paper, rather than solve the objective function exactly by analytical techniques (with hard to evaluate complexity), we develop an algorithmic methodology based on power discretization and rounding. We provide a fully-polynomial approximation scheme that will solve the rate and dutycycle constrained energy objective while violating some of the constraints, both within given arbitrarily small factors and find the optimal number of power levels required for the approximate optimal schedule.

From the algorithmic perspective, the MESP problem can be related to the NP-hard generalized assignment problem (GAP) [24], of which there are two versions: max GAP and min GAP. Max Gap is more easily reducible to the converse version of MESP, so we describe it first. Max GAP can be stated as follows: We are given M items to be assigned to N bins with profit  $p_{ij}$  on assigning item i to bin j, where bin j has a total capacity constraint  $C_j$  and the size of item i in bin j is  $s_{ij}$ . The objective is to find the set of items that maximizes the total profit while maintaining all capacity constraints (each item can be assigned to at most one bin). Consider the converse version of MESP where we want to maximize the sum rates achieved by users over all M time slots  $(\sum_i \sum_t R_{it})$ subject to a maximum total power constraint for each user *i* over M slots  $(\sum_t P_{it} \leq P_i)$ . Consider a special case of this problem where all users maximally interfere with each other (i.e  $\alpha_{ij}^t = \infty$  for all  $i \neq j$  and thus at most one user transmits per slot). Clearly this reduces to max GAP where the M items correspond to M time slots, and the capacity of the N bins correspond to the power constraints of the users. Each item (slot) can be assigned to one bin (user) with profit  $R_{it}$  and reduces the capacity of that bin by  $P_{it}$ .

The MESP problem that we consider is related to min GAP since we are minimizing the objective function (energy/power). It is easy to show that this version is also NP-hard even for 2 users and M slots. Later (in section 5), we show a stronger result for MESP by demonstrating the non-existence of any (r, r)-factor approximation, for any r > 0, unless P = NP. We show this by finding a gap preserving reduction from the graph clique cover problem to MESP with N users. Given the hardness of approximating MESP and similar related problems like [14], [18], one approach is to use numerical methods for arbitrary N. However the computational complexity of these are dependent on the particular problem instance and could be very high. In this paper, we take an alternative approach and develop approximation schemes with known complexity for a given value of N. Specifically, we develop fully polynomial  $(1, 1 + \epsilon)$  approximation schemes (FPAS) for MESP with a given number of users N transmitting over an arbitrary number of time slots M. Though the algorithm is exponential in the worst case for an arbitrary number of users N, given the hardness of approximating the general problem and impossibility of any r-factor approximation (unless P = NP), our approach with small approximation bounds  $\epsilon$  provides a reasonable solution to cases with a given, moderate number of users N.

We develop our FPAS for MESP using ideas related to binpacking and the knapsack problem [24]. We first show a simple dynamic programming solution (of exponential complexity in M) that optimally solves the restricted problem. We then develop an algorithm for computing the optimal number of discrete power levels per time slot  $(O(1/\epsilon))$ , and use this to design a  $(1, 1 + \epsilon)$ -FPAS for MESP with a given number of users N transmitting over an arbitrary number of time slots M. This  $(1, 1 + \epsilon)$ -FPAS consumes no more energy than the optimal while violating each rate constraint by at most a  $1 + \epsilon$  factor. For two fixed transmit power levels, we then develop a 2-factor approximation for finding the optimal fixed transmit power level per time slot,  $P_{opt}$ , that generates the optimal (minimum) energy schedule.

### II. BASIC DYNAMIC PROGRAMMING SOLUTION

First, we consider a simplified version of the minimum energy scheduling problem using two discrete transmit power levels. In the restricted version, a node is allowed to be either idle or transmit with a given (fixed) power P during its active slot. We illustrate our schemes using two nodes (N = 2) over M time slots. Even this restricted two node case is not amenable to traditional optimization methods like the Lagrangian and is also NP-hard. Later in section 6, we extend the approximations to the N-node, M-time slot case.

The restricted optimization problem is described by:

minimize 
$$\sum_{i=1}^{2} \sum_{t=1}^{M} P_{it}$$
(7)

s.t 
$$\sum_{t=1}^{M} R_{it} \geq \tilde{R}_i \quad i = 1, 2$$
(8)

$$P_{it} \in \{0, P\} \tag{9}$$

$$i = 1, 2, \quad t = 1, \dots, M$$
 (10)

$$A_{it} = \begin{cases} 0 \text{ if } P_{it} = 0\\ 1 \text{ otherwise} \end{cases}$$
(11)

$$\sum_{t=1}^{M} A_{it} \leq \mu_{i} \quad i = 1, 2 \tag{12}$$

We assume that  $\mu_1 + \mu_2 \ge M$ , i.e the two nodes have to interleave during some of the slots. A more restricted version of Eq. (7) with  $\alpha_{ji}^t = \alpha_{ji}$  independent of t is analyzed in [25].

Let  $\bar{R}_{i,j}^{kP,a,b} = \{(R_1, R_2)\}$  represent the set of rate vectors (list of rate pairs) corresponding to cumulative transmission rates for user 1 and user 2 from time slots *i* through *j*,  $1 \le i \le j \le M$ , while using a total power (node 1 + node 2) of kP and having a total of *a* and *b* active slots, respectively, where  $0 \le a, b \le j - i + 1$ . Since a node uses fixed power *P* during an active slot, a+b=k, in this case. For notational simplicity, if i = j, we drop one of the redundant subscripts in the rate vector. In the above definition,  $R_l = \sum_{t=i}^{j} R_{lt}$ , where  $R_{lt}, l = 1, 2$ , is the achievable rate for node *l* during time slot *t*, depending on the actions of the other node i.e active/asleep. Thus for a given time slot *t*, we have four different rate vectors specified by,

The restricted version of the problem consists of finding a transmission schedule of minimum total energy in which active nodes transmit at a fixed power during each active time slot while also satisfying the given duty-cycle and rate constraints. For fixed power level P, the optimal schedule is easily specified by the following dynamic program which maintains the current best-solution of rate vectors for each total power level and duty-cycle value. The boundary conditions are given by the rate vectors in Eq. 13. The recursive formula for each power level kP and duty-cycles  $a, b, 1 \le k \le (\mu_1 + \mu_2),$  $0 \le a \le \mu_1, 0 \le b \le \mu_2$  is

$$\bar{R}_{i,j}^{kP,a,b} = \operatorname{vectormax} \left\{ \bar{R}_{i,j-1}^{kP,a,b} \\
\bigcup \left( \bar{R}_{i,j-1}^{(k-1)P,a-1,b} + \bar{R}_{j}^{P,1,0} \right) \\
\bigcup \left( \bar{R}_{i,j-1}^{(k-1)P,a,b-1} + \bar{R}_{j}^{P,0,1} \right) \\
\bigcup \left( \bar{R}_{i,j-1}^{(k-2)P,a-1,b-1} + \bar{R}_{j}^{2P,1,1} \right) \right\} (14)$$

where the rate vectors in each union operation above are computed using pairwise addition of the individual vectors. The vectormax operation eliminates all dominated vectors from the set, i.e.  $\forall \{(R_1, R_2), (R_3, R_4)\} \in \bar{R}_{i,j}^{kP,a,b}$  either  $R_1 > R_3$ and  $R_2 \leq R_4$  or vice versa. Using the recursive function, the table of values is evaluated in increasing order of time slots from i = 1, j = 1, 2, ... M. There are  $O(M\mu_1\mu_2)$  table entries corresponding to all possible total power consumption (kP)and duty-cycle solutions,  $1 \le k \le 2M$ ,  $0 \le a \le \mu_1$ ,  $0 \leq b \leq \mu_2$  The number of rate vectors corresponding to each table entry can be exponential as described below. On termination of the algorithm, the set of feasible schedules correspond to those rate vectors  $\geq$  $(\hat{R}_1, \hat{R}_2)$  under the usual meaning of vector comparison. The optimal schedule for a given transmit power level P is the one whose rate vector satisfies

$$\bar{R}_{opt}^{P} = \operatorname{argmin}_{k=1,2...,2M} \left\{ (R_{1}, R_{2}) \in \bar{R}_{1,M}^{kP,\mu_{1},\mu_{2}} | \\ (R_{1}, R_{2}) \geq (\tilde{R}_{1}, \tilde{R}_{2}) \right\}$$
(15)

In practice, it is likely that many of the vectors in  $\bar{R}_{i,j}^{kP,a,b}$  would be dominated and hence eliminated by the vectormax

operation. However in the worst-case, even after the vectormax operation, the size of  $\bar{R}_{i,j}^{kP,a,b}$  can quadruple with each additional slot. Thus the above dynamic program is clearly exponential in terms of the slot parameter M, even though each slot contains only four rate vectors. This motivates us to consider a  $(1, 1 + \epsilon)$  FPAS for the problem, as described next.

## III. MINIMUM ENERGY SCHEDULE WITH MULTIPLE POWER LEVELS

We now consider the scheduling problem with multiple discretized power levels, where each node can choose from a set of power levels per time slot. As shown below, if the power levels are chosen appropriately, the cost of the resulting minimum energy schedule approximates the cost of the optimal schedule to within an  $\epsilon$ -factor.

For the optimization problem with multiple power levels, let P and  $L_t$  denote the maximum allowable transmit power and the number of discrete power levels available per time slot, respectively, with values as defined below. For this problem, the constraint (9) of problem (7) is replaced with

$$P_{it} \in \{P_0 = 0, P_1, P_2, \dots, P_{L_t} = P\}$$
 where  
 $P_l < P_{l+1}, \qquad l = 0, 1, \dots L_t - 1$  (16)

Let  $\mathcal{A}^{P^*}$  denote the optimal algorithm for the above restricted version of MESP, i.e nodes select an optimal power value  $0 \le P_{it}^* \le P$  in each slot, to satisfy their rate and dutycycle constraints. Let  $R_{it}^*$  denote the corresponding optimal rate achieved per time slot, i = 1, 2, t = 1, 2, ... M. Finally, let  $P^* = \sum \sum P_{it}^*$  and  $R_i^* = \sum_t R_{it}^*$  denote the overall optimal power and rate allocations. In general, an  $(\alpha, \beta)$ approximation of the optimal minimum energy scheduling problem is one which provides a feasible schedule with total power  $\hat{P} \leq \alpha P^*$  and each rate constraint violated by at most a  $\beta$ -factor i.e  $\beta \hat{R}_i \geq R_i^*$ , for each node *i*. Note that  $R_i^* \geq \tilde{R}_i$  and hence  $\beta \hat{R}_i \geq \tilde{R}_i$ . Given some  $\epsilon > 0$ , we first show the construction of a more computationally expensive  $(1+\epsilon, 1+\epsilon)$ -approximation in order to illustrate our approach and then describe a more efficient  $(1, 1 + \epsilon)$ -approximation to the optimal.

We first summarize our power discretization scheme and then provide an intuitive explanation as to the parameters involved. The optimal power discretization is obtained by dividing the total available power P in each slot into the following  $L_t = r_0 + s_0 + 2$  discrete power levels.

$$P_r = \begin{cases} r\delta_1, & 0 \le r \le r_0\\ (1+k\delta_1)^{r-r_0}P_{r_0}, & r_0+1 \le r \le r_0+s_0\\ P, & r=r_0+s_0+1 \end{cases}$$
(17)

where  $r_0 = \lceil \frac{2+kq}{\epsilon kq} \rceil$  and  $s_0 = \lfloor \ln_{1+k\delta_1} P/r_0\delta_1 \rfloor$ , for non-zero k. If k = 0, then  $r_0 = \lfloor \frac{2P}{q\epsilon} \rfloor$  and  $s_0 = 0$ .

We divide the range of available power into two types of intervals: the first  $r_0$  intervals of fixed size  $\delta_1$  and the remaining intervals of geometrically increasing size, with scaling factor  $k\delta_1$ . Intuitively, since geometric intervals are small in the beginning, the total number of power levels would be much larger if we only used such intervals. Therefore we use intervals of fixed size initially up to a point  $r_0\delta_1$ , after which it becomes more productive to use geometrically increasing intervals.  $r_0$  is such that the size of the first geometric interval,  $k\delta_1^2r_0$  is the same as the size of the previous fixed interval  $\delta_1$ . The overall objective is to find optimal values of scaling factors k and  $\delta_1$  that minimize the total number of power levels, yet allow us to closely approximate the overall energy consumption and rate constraints.

To maintain the energy approximation requirements (as shown below in Theorem 1), we will get the constraint  $\delta_1 = q\epsilon/(2+kq)$ , where q (to be defined later) is a technical term required for the energy and rate approximations. Hence  $k\delta_1 < \epsilon$  and thus for small  $\epsilon$ , the total number of levels  $L_t = r_0 + s_0 = \frac{1}{k\delta_1} + \ln_{1+k\delta_1} kP$  can be approximated by  $\frac{1+\ln kP}{k\delta_1} = \frac{1}{\epsilon}(1+\ln kP)(1+\frac{2}{kq})$ . We find the optimal value of k as the one that minimizes  $L_t$  subject to the constraints

$$1/P < k \le \frac{2(2^{\epsilon R_i/M} - 1)}{q\left(1 + \epsilon - 2^{\epsilon \tilde{R}_i/M}\right)}$$
(18)

where the lower bound is because  $P_{r_0} = 1/k < P$  and the upper bound on k is required to maintain the rate approximation requirements as shown below in Theorem 1. The minimum value of  $L_t$  is found among the values of k representing the solutions to  $2 \ln kP = kq$  subject to  $\ln kP \ge 1$ , or at the the boundary points above. However if  $L_t$  is an increasing function of k within these intervals, then having geometrically increasing intervals is not productive. Thus we set  $k = s_0 = 0$ . The range of transmit powers [0, P] is divided into fixed size intervals of size  $\delta_1 = q\epsilon/2$ and the total number of power levels is  $\lceil \frac{2P}{q\epsilon} \rceil$ .

Finally, to complete the definition of power levels, q is specified for technical reasons as follows:  $q = \min_{i,j,t} \left\{ \frac{P'}{M}, \frac{\alpha_{ii}^t}{\alpha_{ji}^t} \left( 2^{\epsilon \tilde{R}_i/M} - 1 \right) \right\}, i, j = 1, 2, 1 \le t \le M$ , where  $P' = P'_1 + P'_2$ , and  $P'_i$  is the solution to the problem of zero-interference scheduling of node i with variable (non-discrete) power levels as shown below.

$$\begin{array}{l} \text{minimize } P_i' = \sum_{t=1}^M P_{it}, \quad i = 1, 2\\ \text{s.t } \sum_{t=1}^M \frac{1}{2} \log_2 \left( 1 + \frac{\alpha_{ii}^t P_{it}}{\mathcal{N}_i^t} \right) \geq \tilde{R}_i, \quad i = 1, 2\\ P_{it} \geq 0 \quad i = 1, 2; t = 1, ..., M\\ \sum_{t=1}^M A_{it} \leq \mu_i, \quad i = 1, 2\\ A_{it} = \begin{cases} 0 \text{ if } P_{it} = 0\\ 1 \text{ otherwise} \end{cases} \end{array}$$

 $P'_i$  can be found using standard Lagrange multiplier techniques [22]. Note that P' is a lower bound for the minimum energy scheduling problem using discrete power levels.

Based on the preceding arguments it is easy to see the following:

**Lemma 1:** For given max power level P and constraints  $\tilde{R}_i$ , the number of discrete power levels per slot  $L_t$  is  $O(\frac{1}{a\epsilon})$ .

For small  $\epsilon > 0$ , let  $\mathcal{A}^{\hat{P}}$  denote the modified version of the (exponential) dynamic programming algorithm  $\mathcal{A}^{P}$  in which each node can select from discrete power levels per time slot as specified by Eq. 17, subject to overall duty-cycle and rate constraints  $\tilde{R}_{i}(1-\epsilon)$ . Then we have:

**Theorem 1:**  $\mathcal{A}^{\hat{P}}$  is a  $(1 + \epsilon, 1 + \epsilon)$ -approximation of  $\mathcal{A}^{P^*}$ .

*Proof:* Divide the set of time slots  $T = \{1, 2, ..., M\}$  into disjoint sets  $T_{11}$  and  $T_{12}$  (resp.  $T_{21}$  and  $T_{22}$ ) such that

$$t \in T_{11}(\text{resp. } T_{21}) \quad \text{if} \quad P_{1t}^*(\text{resp. } P_{2t}^*) \in [0, r_0 \delta_1] \\ t \in T_{12}(\text{resp. } T_{22}) \quad \text{if} \quad P_{1t}^*(\text{resp. } P_{2t}^*) \in (r_0 \delta_1, P]$$
(20)

Let  $\hat{P}_{it}$  and  $\hat{R}_{it}$  denote the (discrete) power levels and rate allocations per node per time slot under  $\mathcal{A}^{\hat{P}}$ . Since  $\mathcal{A}^{\hat{P}}$  considers combinations of power levels over M slots, the errors in power levels and rate allocations per slot (either absolute or relative) must be bounded from above. Consider the solution in  $\mathcal{A}^{\hat{P}}$  that simply rounds up the optimal power level in each slot to the nearest (larger) discrete power level. For this solution, the absolute error is bounded by  $\hat{P}_{it} - P_{it}^* < \delta_1$ ,  $t \in T_{i1}$ , and the relative error by  $\hat{P}_{it} < (1 + k\delta_1)P_{it}^*$ ,  $t \in T_{i2}$ , i = 1, 2. Therefore we have

$$\hat{P} = \sum_{i} \sum_{t \in T_{i1}} \hat{P}_{it} + \sum_{i} \sum_{t \in T_{i2}} \hat{P}_{it} \\
\leq P^{*} + \frac{q\epsilon \left( |T_{11}| + |T_{21}| \right)}{2 + kq} + \frac{kq\epsilon}{2 + kq} \sum_{i} \sum_{t \in T_{i2}} P_{it}^{*} \\
\leq P^{*} + \frac{2Mq\epsilon}{2 + kq} + \frac{\epsilon kq}{2 + kq} P^{*}$$
(21)

The overall relative error in energy  $P_{err}$ , of this solution  $\hat{P}$  is defined as

$$P_{err} = \frac{P - P^*}{P^*}$$

Therefore we can bound the relative error as

$$P_{err} = \frac{2\epsilon}{2+kq} \cdot \frac{Mq}{P^*} + \frac{\epsilon kq}{kq+2} \le \epsilon \tag{22}$$

since  $q \leq P'/M \leq P^*/M$  as P' is a lower bound for the optimal energy value  $P^*$ . Hence this particular solution of algorithm  $\mathcal{A}^P$  approximates the optimal energy value of the minimum energy schedule to within an  $\epsilon$  factor.

To complete the proof, we just need to show that the above power allocation is also a feasible solution in terms of the (19) rate constraints i.e the overall rates achieved by  $\mathcal{A}^{\hat{P}}$  also approximate each rate constraint to within an  $\epsilon$  factor. First consider the achieved rate  $\hat{R}_{1t}$ , for the case  $t \in T_{21}$ .

$$\hat{R}_{1t} \geq \frac{1}{2} \log_2 \left( 1 + \frac{\alpha_{11}^t P_{1t}^*}{\mathcal{N}_1^t + \alpha_{21}^t (P_{2t}^* + \delta_1)} \right) \\
\geq \frac{1}{2} \log_2 \left( 1 + \frac{\alpha_{11}^t P_{1t}^*}{\mathcal{N}_1^t + \alpha_{21}^t P_{2t}^*} \cdot \frac{1}{1 + \frac{\alpha_{21}^t \delta_1}{\mathcal{N}_1^t + \alpha_{21}^t P_{2t}^*}} \right) \\
\geq R_{1t}^* - \frac{1}{2} \log_2 \left( 1 + \frac{\delta_1}{P_{2t}^* + \frac{\mathcal{N}_1^t}{\alpha_{11}^t} \cdot \frac{\alpha_{11}^t}{\alpha_{21}^t}} \alpha_{21}^t} \right) \quad (23)$$

Using the fact that  $P_{2t}^* \geq 0$ , and the background noise  $\mathcal{N}_1^t/\alpha_{11}^t \geq 1$  for each time slot  $t \in T_{11}$ , we can bound the absolute  $R_1$  rate error  $= R_1^* - R_1$  over all such time slots by

$$\frac{M}{2}\log_2\left(1 + \max_t\left(\frac{\alpha_{21}^t}{\alpha_{11}^t}\right)\delta_1\right) \le \frac{\epsilon \tilde{R}_1}{2}$$

by using the fact that  $\delta_1 \min_t \left(\frac{\alpha_{11}^t}{\alpha_{21}^t}\right) \epsilon \left(2^{2\epsilon \tilde{R}_1/M} - 1\right)$ . Next, for  $t \in T_{22}$  (when k > 0), we get  $\leq$  $\epsilon q$  $\leq$ 

$$\hat{R}_{1t} = \frac{1}{2} \log_2 \left( 1 + \frac{\alpha_{11}^t \hat{P}_{1t}}{\mathcal{N}_1^t + \alpha_{21}^t \hat{P}_{2t}} \right) \\
\geq \frac{1}{2} \log_2 \left( 1 + \frac{\alpha_{11}^t P_{1t}^*}{\mathcal{N}_1^t + \alpha_{21}^t P_{2t}^* (1 + k\delta_1)} \right) \\
\geq \frac{1}{2} \log_2 \left( 1 + \frac{1}{1 + k\delta_1} \cdot \frac{\alpha_{11}^t P_{1t}^*}{\frac{\mathcal{N}_1^t}{1 + k\delta_1} + \alpha_{21}^t P_{2t}^*} \right)$$

Since  $k\delta_1 \ge 0$ , this implies

$$\hat{R}_{1t} \geq \frac{1}{2} \log_2 \left( 1 + \frac{\alpha_{11}^t P_{1t}^*}{\mathcal{N}_1^t + \alpha_{21}^t P_{2t}^*} \right) - \frac{1}{2} \log_2(1 + k\delta_1) \\ = R_{1t}^* - \frac{1}{2} \log_2(1 + k\delta_1)$$
(24)

Hence the total error in  $R_1$  over all the time slots when  $t \in T_{22}$  is at most  $(M/2)\log_2(1+k\delta_1) \leq \epsilon R_1/2$  using the upper bound on k as specified in Eq. 18. Combining the two cases, the total absolute error in  $R_1 = \tilde{R}_1 - \hat{R}_1 \le \epsilon \tilde{R}_1$  and thus the relative error in  $R_1$  is bounded by  $\epsilon$  i.e  $\hat{R}_1 \geq \hat{R}_1(1-\epsilon)$ . The analysis is identical for rate  $R_2$ . Since algorithm  $\mathcal{A}^P$  uses  $\hat{R}_i(1-\epsilon)$  as the rate constraint for user *i*, therefore the choice of power levels described above is a feasible choice and hence the algorithm is a  $(1 + \epsilon, 1 + \epsilon)$  approximation.

For the algorithm above, note that the number of discrete power levels per slot  $L_t$ , is a function of the channel quality parameters  $\alpha_{ii}^t / \alpha_{ii}^t$ . While the  $\alpha$ 's are exponentially distributed random variables with typically small means [26], the ratios can still be quite large, thereby increasing the number of levels. Therefore we consider a better scheme where the rate and energy approximations are obtained independent of channel quality parameters.

Let  $\tilde{R}_m = \min(\tilde{R}_1, \tilde{R}_2)$  and  $k_1 = (M \log_2(1+P) - M \log_2(1+P))$  $2\tilde{R}_m)/\log_2\left(\frac{1+P}{1+1/k}\right)$ . Define  $\delta_1 > 0$  and k > 0 as the solutions to

minimize 
$$\left(\frac{1}{k\delta_1} + \ln_{1+k\delta_1} kP\right)$$
 (25)

s.t 
$$k_1\delta_1 + M\log_2(1+k\delta_1) = 2\epsilon \tilde{R}_m$$
  
 $k > \frac{1}{2^{2\tilde{R}_m/M}-1}$ 

$$(26)$$

 $\delta_1$  and k can be obtained using standard constrained minimization techniques such as Lagrange multipliers [22]. However if no solution exists above, then  $\delta_1$  and k are the solutions obtained by replacing the constraints in Eq. 26 above by the constraint

$$\delta_1 + \log_2(1+k\delta_1) = \frac{2\epsilon R_m}{M} \tag{27}$$

If no solution still exists, then  $\delta_1 = \epsilon \tilde{R}_m/M$  and k = $(2^{\epsilon R_m/M} - 1)/\delta_1$ . Now divide the available power per time slot into discrete power levels as specified by Eq. 17 using the  $\delta_1$  and k values above.

**Theorem 2:** For  $\epsilon > 0$ , let  $\mathcal{A}^{\overline{P}}$  denote the (exponential) dynamic programming algorithm for finding a minimal energy schedule using the discrete power levels defined above, subject to overall duty-cycle and rate constraints  $\hat{R}_i(1-\epsilon)$ . Then  $\mathcal{A}^P$ is a  $(1, 1 + \epsilon)$ -approximation of  $\mathcal{A}^{P^*}$ .

*Proof:* For each slot t, round down the optimal power level choice  $P_{it}^*$  to the nearest discrete power level, represented by  $\overline{P}_{it}$  and let  $\overline{R}_{it}$  denote the corresponding achieved rate per slot. As before, divide the M time slots into sets  $T_{ij}$ , i, j = 1, 2, based on the value of  $P_{it}^*$ . We show below that  $\overline{P}_{it}$  represents a feasible allocation of power levels under the rate constraints  $\tilde{R}_i/(1-\epsilon)$ . Hence  $\mathcal{A}^P$  is a  $(1,1+\epsilon)$ approximation since the total energy consumption of  $\mathcal{A}^{\overline{P}}$  is at  $\begin{array}{l} \underset{\text{First, for } t \in T_{12}, \text{ using } \overline{P}_{1t} \geq P_{1t}^*. \\ \\ \overline{P}_{1t} \in T_{12}, \text{ using } \overline{P}_{1t} \geq P_{1t}^*/(1+k\delta_1) \text{ and } \overline{P}_{2t} \leq T_{12} + k\delta_1 \end{array}$ 

 $P_{2t}^*$ , we get

$$\overline{R}_{1t} \geq \frac{1}{2} \log_2 \left( 1 + \frac{\alpha_{11}^t P_{1t}^*}{(1+k\delta_1)(\mathcal{N}_1^t + \alpha_{21}^t \overline{P}_{2t})} \right)$$
$$\geq R_{1t}^* - \frac{1}{2} \log_2(1+k\delta_1)$$
(28)

Thus the absolute error in  $R_{1t}$  per time slot for this case is  $\leq \frac{1}{2}\log_2(1+k\delta_1).$ 

Next, for  $t \in T_{11}$ , define the total interference,  $\overline{I}_{1t} =$  $(\mathcal{N}_1^t + \alpha_{21}^t \overline{P}_{2t})/\alpha_{11}^t$ , and likewise  $I_{1t}^*$ , where  $I_{1t}^* \geq \overline{I}_{1t} \geq 1$ (minimum total interference  $\geq 1$ ). Therefore we have,

$$R_{1t}^* - \overline{R}_{1t} \leq \frac{1}{2}\log_2\left(1 + \frac{P_{1t}^*}{\overline{I}_{1t}}\right) - \frac{1}{2}\log_2\left(1 + \frac{\overline{P}_{1t}}{\overline{I}_{1t}}\right)$$

Using the fact that  $\ln x - \ln y < x - y$  for x > y > 1, we get  $R_{1t}^* - \overline{R}_{1t} < (P_{1t}^* - \overline{P}_{1t})/2 \le \delta_1/2$ . Thus the absolute error in  $R_{1t}$  per time slot for this case is  $\leq \delta_1/2$ .

Combining the two cases, we can bound the overall rate error over M time slots as

$$T_{err} = \frac{|T_{11}|\delta_1}{2} + \frac{|T_{12}|\log_2(1+k\delta_1)}{2}$$
(29)

For  $\mathcal{A}^{\overline{P}}$  to be a  $(1, 1+\epsilon)$  algorithm, we must have  $T_{err} \leq \epsilon \tilde{R}_1$ . To finish the proof, note that the maximum  $R_1$  rate we can obtain under this algorithm in any  $t \in T_{12}$  is  $\frac{1}{2}\log_2(1+P)$ and  $\frac{1}{2}\log_2(1+r_0\delta_1) = \frac{1}{2}\log_2(1+1/k)$  in any  $t \in T_{11}$ . The maximum value of  $|T_{12}|$  is M. (Clearly  $\log_2(1+P)$  should be  $\geq 2\tilde{R}_1(1-\epsilon)/M$ , otherwise  $\mathcal{A}^{\overline{P}}$  does not have a solution). However the maximum value of  $|T_{11}|$  is  $|T_{11}| \leq (M \log_2(1+P) - 2\tilde{R}_1)/\log_2\left(\frac{1+P}{1+1/k}\right)$  if  $\log_2(1+1/k) < 2\tilde{R}_1/M$  else  $|T_{11}| \leq M$ . When  $|T_{11}|$  takes the first value, the total number of power levels per slot is minimized by choosing  $\delta_1$  and kas in Eq. 26, whereas in the second case it is minimized by Eq. 27. If both cases do not yield a solution then we set the two error components  $\delta_1 = \log_2(1+k\delta_1) = \epsilon \tilde{R}_m/M$  which makes the relative error over M slots  $\leq \epsilon$  as desired.

Finally, we note that the worst-case values of k and  $k\delta_1$  are  $O(\epsilon \tilde{R}_m/M)$  and therefore

**Theorem 3:** Given rate constraints  $\tilde{R}_i$  and max power P, the number of discrete power levels per slot is  $O(\frac{1}{\epsilon})$ .

Note that the time complexity of  $\mathcal{A}^{\overline{P}}$  is still exponential. However, using the fact that the number of power levels per slot required to closely approximate rate and energy constraints is  $O(\frac{1}{\epsilon})$ , we will develop an FPAS in Section IV.

# IV. AN FPAS FOR RATE CONSTRAINTS

We now describe a simple Fully Polynomial Approximation Scheme that solves the minimum energy scheduling problem by using a  $\beta$ -relaxation on the rate constraints for some arbitrary constant  $\beta > 0$ . For clarity, we describe the FPAS using two power levels 0 and P per time slot. The algorithm for the multiple power level case is a simple extension as described later.

The FPAS solves the same restricted problem of Eq. 7 with only each rate constraint replaced by

$$\sum_{t=1}^{M} R_{it} \ge (1-\beta)\tilde{R}_i \tag{30}$$

For any  $\delta > 0$ , define the following

Definition 1: A rate vector  $(R_1, R_2)$   $\delta$ -dominates another vector  $(R_3, R_4)$  iff either  $R_3(1-\delta) \leq R_1 \leq R_3$  and  $R_2 \geq R_4$ or  $R_3 \leq R_1(1-\delta)$  and  $R_4(1-\delta) \leq R_2$ . For  $R_1 \geq \tilde{R}_1$ , the  $\delta$ -dominant vector is the one with max  $R_2$  among all such vectors.

Note that dominance (under standard vector comparison) implies  $\delta$ -dominance but not vice-versa.

Definition 2: Let  $\overline{R}$  be a set of rate vectors. Define the operation vectormaxdelta( $\overline{R}$ ) as one that eliminates all  $\delta$ -dominated vectors from  $\overline{R}$ .

Operation vectormaxdelta is equivalent to dividing the twodimensional vector space into horizontal and vertical strips, each of whose left endpoint is  $(1-\delta)$  times its right endpoint and choosing at most one vector per strip. A simple algorithm for implementing vectormaxdelta( $\bar{R}$ ) is as follows. Assume  $\bar{R}$ has been sorted by  $R_1$  values. First obtain the  $\delta$ -dominant vector for  $R_1 \ge \tilde{R}_1$  if such  $R_1$ 's exist. Then find the  $\delta$ -dominant vectors successively in the strips defined by  $R_1$  intervals  $(\tilde{R}_1(1-\delta), \tilde{R}_1], (\tilde{R}_1(1-\delta)^2, \tilde{R}_1(1-\delta)] (\tilde{R}_1(1-\delta)^3, \tilde{R}_1(1-\delta)^2]$ and so on. Dominated vectors are eliminated simultaneously. Since  $\bar{R}$  has been sorted by  $R_1$ , this can be done in one pass through  $\bar{R}$ , in decreasing order of  $R_1$  values. Choose  $\delta = \frac{\beta}{2M}$ . Let  $\mathcal{A}_{\beta}^P$  denote the following dynamic

Choose  $\delta = \frac{\beta}{2M}$ . Let  $\mathcal{A}_{\beta}^{P}$  denote the following dynamic programming algorithm for the fixed power minimum energy scheduling problem. The boundary conditions (i.e rate vectors for each slot t) are the same as before in Eq. 13. The main recursive step in the algorithm is derived by replacing the vectormax operation with vectormaxdelta. Let  $\hat{R}_{i,j}^{kP,a,b}$ represent the set of  $\delta$ -dominating rate pairs corresponding to cumulative transmission rates for user 1 and user 2 from time slots i through j,  $1 \le i \le j \le M$ , while using a total power of kP,  $1 \le k \le 2M$ .

$$\hat{R}_{i,j}^{kP,a,b} = \operatorname{vectormaxdelta} \left\{ \hat{R}_{i,j-1}^{kP,a,b} \bigcup \left( \hat{R}_{i,j-1}^{(k-1)P,a-1,b} + \hat{R}_{j}^{P,1,0} \right) \bigcup \left( \hat{R}_{i,j-1}^{(k-1)P,a,b-1} + \hat{R}_{j}^{P,0,1} \right) \bigcup \left( \hat{R}_{i,j-1}^{(k-2)P,a-1,b-1} + \hat{R}_{j}^{2P,1,1} \right) \right\}$$
(31)

The terminating condition for the algorithm occurs when the rate vectors are  $\geq \tilde{R}_i(1-\beta)$ , i = 1, 2. The optimal schedule corresponds to the minimum total power rate vector that satisfies the terminating condition.

**Theorem 4:**  $\mathcal{A}_{\beta}^{P}$  is a FPAS for the minimum energy scheduling problem with two fixed transmit power choices 0 or P per slot.

*Proof:* First we show that the running time of  $\mathcal{A}_{\beta}^{P}$  is polynomial in M and  $1/\beta$ . The number of  $\delta$ -dominant vectors in  $\hat{R}_{i,j-1}^{kP,a,b}$  is bounded by

$$1 + \ln_{1+\delta} \tilde{R}_1 = 1 + \frac{\ln \tilde{R}_1}{\ln(1+\delta)} = O\left(\frac{M}{\beta} \cdot \ln \tilde{R}_1\right)$$

since we keep only one vector for each  $1-\delta$ -factor interval. and using  $1/(1-\delta) = 1+\delta$ . The running time for the creation of each  $\hat{R}_{i,j}^{kP,a,b}$  is also polynomial since it includes sorting followed by the vectormaxdelta operation. There are  $O(MP\mu_1\mu_2)$  such rate vector sets, each of size polynomial in  $1/\beta$  and hence the overall running time is also polynomial in  $1/\beta$ .

Next we need to show that algorithm  $\mathcal{A}_{\beta}^{P}$  provides a  $\beta$ approximation of the rate constraints. Let  $(R_{1}, R_{2}) \in \bar{R}_{1,j}^{kP,a,b}$ be an arbitrary non-dominated vector from the exponential time algorithm  $\mathcal{A}^{P}$  up to time slot j. We can show by induction that  $\exists (R_{3}, R_{4}) \in \hat{R}_{1,j}^{kP,a,b}$  such that  $R_{3} \geq R_{1}(1-\delta)^{j}$  and  $R_4 \geq R_2(1-\delta)^j$ . The 'parent' of  $(R_1, R_2)$  (the vector that produced  $(R_1, R_2)$  in stage j-1) is approximated within  $(1-\delta)^{j-1}$  by the induction hypothesis. After combining with the vectors of stage j and implementing vectormaxdelta, at most a further  $(1-\delta)$ -factor error in  $R_1$  and  $R_2$  is introduced. Thus the total error in each dimension is bounded by  $(1-\delta)^j$ after j slots. Therefore every rate vector in  $\bar{R}_{1,M}^{kP,\mu_1,\mu_2}$  is approximated to within  $(1-\delta)^M$  by a rate vector from algorithm  $\mathcal{A}^P_{\beta}$ . Using  $\delta = \beta/2M$ , we can see that there exist 'approximate' rate vectors  $(R_3, R_4) \in \hat{R}_{1,M}^{kP,\mu_1,\mu_2}$  such that  $R_3 \geq R_1(1-\beta)$  and  $R_4 \geq R_2(1-\beta)$  for all 'actual' rate vectors  $(R_1, R_2) \in \bar{R}_{1,M}^{kP,\mu_1,\mu_2}$ . Hence  $\mathcal{A}^P_{\beta}$  is a  $\beta$ approximation.

Algorithm  $\mathcal{A}_{\beta}^{P}$  above can be easily modified to incorporate multiple power levels per slot. For any small  $\alpha > 0$ , choose  $\epsilon = \beta = \alpha/2$  and then set  $\delta_1$  and k as per Eq. 26 with  $L_t$ power levels per user per slot. Eq. 13 is modified to reflect  $(L_t)^2 = O(1/\alpha^2)$  (from Theorem 3) total rate vectors per time slot t, corresponding to all combinations of power levels. Define a new algorithm  $\mathcal{A}_{\beta}^{P_{L_t}}$  in which the vectormaxdelta operation applies to combinations of these  $(L_t)^2$  rate vectors. The total number of table entries (for rate vectors) in the modified dynamic program is now increased to  $(L_t)^2 \mathcal{M}\mu_1\mu_2$ . However by applying the vectormaxdelta operation, the size of each rate vector set remains the same size,  $O(1/\beta)$ , as before.

**Theorem 5:** For any  $\alpha > 0$  and  $\epsilon = \beta = \alpha/2$ ,  $\mathcal{A}_{\beta}^{P_{L_t}}$  is a  $(1, 1 + \alpha)$ -Fully Polynomial Approximation Scheme for the minimum energy scheduling problem with  $L_t$  power levels per slot.

**Proof:** By choosing multiple power levels as defined above, each rate vector is no more than a  $1-\epsilon = (1-\alpha/2)$ factor away from the ideal rate vector for that stage. For each such vector, the vectormax operation selects another which is at most another  $1-\alpha/2$ -factor away. Thus at the end of algorithm  $\mathcal{A}_{\beta}^{P_{L_t}}$ , the rate constraints are violated by at most a factor of  $(1-\alpha/2)^2 < (1-\alpha)$ . For given M, P,  $\mu_1$  and  $\mu_2$ , the total number of table entries and related operations is  $O(1/\alpha^2)$  and hence  $\mathcal{A}_{\beta}^{P_{L_t}}$  is a  $(1, 1+\alpha)$  FPAS.

## V. MULTIPLE NODE CASE

We now consider the MESP problem with multiple (N > 2) users transmitting over M time slots. As pointed out earlier, the general MESP problem is related to min GAP [24] and can be shown to be NP-hard even for 2 users and M slots. Here we show a stronger result for MESP and demonstrate that the problem of finding any (r, r)-factor approximation, for any r > 0, is itself NP-complete by finding a gap preserving reduction from the graph clique cover problem to MESP with N users.

Theorem 6: For any r > 0, there exists no (r, r)-factor bicriteria approximation for the MESP problem with Nusers, unless P = NP. **Proof:** Let G = (V, E) be an arbitrary unweighted graph for which we wish to find the minimum clique cover. A k clique-cover for G is a collection  $V_1, V_2, \ldots, V_k$  of subsets of V, such that each  $V_i$  induces a clique of G and such that for each edge  $\langle u, v \rangle \in E$  there is some  $V_i$  that contains both u and v. The minimum clique cover of G is the one with smallest cardinality k.

We convert k clique-cover on G to an instance of MESP as follows: There are N = |V| users (transmitter-receiver pairs), one per node of the graph. For each user i, set the total rate constraint  $\tilde{R}_i = 1$  and maximum duty-cycle constraint  $\mu_i = M$ , i.e users can be active for any number of slots. For all time slots t, define channel attenuation factors as follows:  $\mathcal{N}_i^t = 1$ ,  $\alpha_{ii}^t = 1$ ,  $\alpha_{ij}^t = \alpha_{ji}^t = 0$  if there exists an edge between nodes i and j in G (i.e i and j are non-interfering nodes) and  $\alpha_{ij}^t = \alpha_{ji}^t = \infty$  otherwise. Here we use 0 and  $\infty$  for channel values for simplicity, they can be replaced by correspondingly small ( $\alpha_{ij}^t < \epsilon$ ) and large values ( $\alpha_{ij}^t > W$ , where W is very large) without affecting the proof.

When a node is scheduled during a slot t, if the only other nodes are those with which it has an edge in G, it can immediately obtain its total rate constraint using power  $P_{it} = 3$ . Conversely, if node i is scheduled along with another node j with whom there is no edge in G, it obtains an arbitrarily small rate regardless of the magnitude of its power  $P_{it}$  since the noise factor  $\alpha_{ji}^t P_{jt} = \infty$ . Note that  $P_{jt}$  cannot be too small (to make  $\alpha_{ji}^t P_{jt}$  small) since node j itself is active during this time slot and must obtain a meaningful rate  $\leq (1/2) \log_2(1 + \frac{P_{jt}}{\alpha_{ij}^t P_{it}})$ .

Let  $\mathcal{A}^*(N,k)$  be any (r,r)-factor bicriteria approximation algorithm for MESP with N nodes over a given kslots, i.e it returns a solution in which the total power is within an r-factor of the optimal while all obtained rates  $\overline{R}_i \geq R_i/r$ . We claim that  $\mathcal{A}^*$  can be used to find the exact value of clique cover K. First we note that in any schedule of length k slots (for all k < K), there must exist at least one node which is scheduled only with highinterference nodes (otherwise we would have a clique-cover of size k < K) and thus have an arbitrarily small realized total rate. Hence  $\mathcal{A}^*(N,k)$ , for k < K, cannot return a solution in which all rate constraints are satisfied even within a factor of r. When k = K, the optimal clique cover gives the first schedule where every node can be scheduled only with non-interfering nodes and thus satisfy its total rate constraint. Conversely, any schedule of length K that is not a clique cover must have at least one node whose total obtained rate is arbitrarily small i.e  $\bar{R}_i < R_i/r$ . Thus there is a gap of at least an r-factor between the optimal and the best approximate rate. Since  $\mathcal{A}^*(N,k)$ , is an rfactor approximation, it must return at least one solution (if it exists) where all the given total rate constraints are satisfied within a factor of r. In this case, for k = K, there is only such solution, the optimal, which happens to exactly satisfy all rate constraints.  $\mathcal{A}^*(N, K)$  must return

this optimal schedule and hence  $\mathcal{A}^*(N, K)$  can be used to find the optimal clique cover by iteratively running it for  $k = 1, 2, \ldots$ . The smallest value of k for which all the rate constraints are satisfied within the approximation factor r, is then the value of the optimal clique cover of graph G. Since clique cover is in NP, this implies that MESP cannot be approximated within any r-factor.

Since MESP is hard to approximate, we are motivated to develop a  $(1, 1+\epsilon)$  FPAS for MESP with a given number of users N transmitting over an arbitrary number of time slots M. Our solution with small approximation bounds  $\epsilon$  is applicable to cases with a moderate number of users N.

Note that MESP is NP-hard even for the restricted case of users transmitting using only two power levels (0 and P). In this case, the basic dynamic programming algorithm of Section 2 is exponential both in the number of slots M and users N with  $2^N$  feasible rate vectors per time slot and the size of each table entry (the rate vector set corresponding to feasible total power and duty cycle solutions) also growing exponentially with M. If the numbers of users is a fixed constant, N, then we can develop an FPAS for the general case where users can select from multiple power levels by extending the results of the previous section.

**Proposition 1:** For a fixed number of users N transmitting over an arbitrary number of slots M using multiple power levels, there is a  $(1, 1 + \alpha)$ -FPAS for finding the optimal minimum energy schedule.

We first note that the optimal number of power levels required to approximate each nodes rate and overall energy within a  $(1+\epsilon)$ -factor can be obtained by extending Theorem 2 to the general N-node case, since the bounding arguments apply even with interference from multiple nodes. Hence each node can select from  $L_t = O(1/\epsilon)$  power levels per slot, where the levels are defined by Eq. 17 and Eq. 26 with  $\hat{R}_m$ in Eq. 26 changed to  $\hat{R}_m = \min\{\hat{R}_i\}, i = 1, 2, \dots N$ . The number of feasible rate vectors per slot t is now  $O((1/\epsilon)^N)$ , selected from the N-dimensional hyperplane bounded by  $\tilde{R}_1 \times \ldots \times \tilde{R}_N$ . At each slot, we construct the table entries corresponding to total power and duty-cycle combinations of nodes, where each updated table entry consists of a set of feasible rate vectors up to the current slot that satisfy the total power and duty-cycle requirements. To keep the size of each of table entry polynomial in M and  $1/\epsilon$ , we eliminate  $\delta$ -dominated vectors as before, where  $\delta$ dominance (with  $\delta = \epsilon/2M$ ) is defined as follows: Divide each dimension *i* into  $1 + \ln_{1+\delta} \tilde{R}_i = O\left(M \ln \tilde{R}_i/\epsilon\right)$ intervals, each of size  $1 + \delta$  times the preceding one, thus dividing the N-dimensional space into  $O\left(\prod_i M \ln \hat{R}_i / \epsilon\right)$ regions. Vector  $(R_1, \ldots, R_i, \ldots, R_N)$   $\delta$ -dominates vector  $(R'_1, \ldots, R'_i, \ldots, R'_N)$  if  $R_1 > R'_1$  and  $(1 + \delta)R_i \ge R'_i$ ,  $i = 2, \ldots, N$ . Thus there can be at most one representative vector in a region. After eliminating all  $\delta$ -dominated vectors in slot t, the number of rate vectors for each table

entry (power level) is 1 in the best case, corresponding to the case where there exists a feasible rate vector in the 'uppermost' region which dominates all other vectors. In the worst case, there could be  $O\left(\prod_{i=1}^{N-1} M \ln \tilde{R}_i / \epsilon\right) \delta$ dominant vectors left. The arguments of algorithm  $\mathcal{A}_{\beta}^{P_{L_t}}$ can now be applied to show that the rate vectors output by the algorithm are within a  $(1, 1 + \epsilon)$ -factor of the optimal power and rate constraints. The algorithm is an FPAS since it is polynomial in M and  $1/\epsilon$ .

## VI. 2-APPROXIMATE MINIMUM ENERGY SCHEDULE FOR FIXED POWER TRANSMITTERS

Consider an interference channel based wireless network with N (low-cost) transmitters, where nodes are restricted to transmitting at a fixed power over their active time slots within the M slot duty-cycle. At the start of the duty-cycle, nodes must decide the optimal fixed transmission power value  $P_{opt}$ that results in a minimum energy schedule. Since we do not have a closed form analytical solution for this schedule as a function of P, we need an algorithmic solution for  $P_{opt}$ . The basic dynamic programming solution of Section 2 addresses only the restricted version of this problem, where the fixed transmit power value P is given as a prior.

For a given value of P, let  $\mathcal{A}^P$  denote the FPAS (based on the previous section using only two power levels) for finding the minimum energy schedule. It is possible that a feasible schedule does not exist under  $\mathcal{A}^P$ , i.e.  $\forall k, (\tilde{R}_1, \tilde{R}_2, \ldots, \tilde{R}_N) \not\leq \bar{R}_{1,M}^{kP,\mu_1,\mu_2,\ldots,\mu_N}$  and thus  $\bar{R}_{opt}^P = \phi$ . Thus the problem is to find the optimal fixed transmission power  $P_{opt}$  for which both a feasible schedule exists and the total energy cost  $E_{\mathcal{A}}^{P_{opt}} = \sum_{i=1}^{N} \sum_{t=1}^{M} P_{it}$  is minimized, subject to  $P_{it} \in \{0, P_{opt}\}$  in addition to the duty-cycle and rate constraints.

Unfortunately,  $P_{opt}$  cannot be found via simple binary search since the total energy of a schedule is not a convex function of P.  $E_{\mathcal{A}}^{P}$  can have multiple local minima; increasing transmit power may increase or decrease the total energy depending on the specific channel interference coefficients <sup>1</sup>. Thus to find  $P_{opt}$  and the global minimum energy schedule, we first restrict the space of feasible transmit powers by finding upper and lower bounds  $P_{min}$  and  $P_{max}$ , such that 1)  $P_{opt} \in [P_{min}, P_{max}]$ ; 2)  $\mathcal{A}^{P}$  is infeasible for  $P < \lfloor P_{min} \rfloor$ ; and 3)  $\forall P > P_{max}, E_{\mathcal{A}}^{P_{opt}} \leq E_{\mathcal{A}}^{P}$ . In this section, we describe a 2-approximation for finding  $E_{\mathcal{A}}^{P_{opt}}$ .

Consider two instances of the scheduling problem: One where nodes transmit at power  $P_1$  during active slots and the other, where they transmit at  $P_2$ , with  $P_1 < P_2$ . It is straightforward to note that all nodes can achieve a higher total rate over the M slots under  $P_2$ , since during each slot, for the same combination of active nodes, the individual rates achieved by the nodes is higher under  $P_2$  than  $P_1$ .

<sup>&</sup>lt;sup>1</sup>Note that for the multiple power levels per slot case as in section 3 (with  $l_t > 2$  levels per slot), a schedule with maximum power P encompasses smaller values as well and thus the optimum value of P can be found through a simple binary search. However this is not true when only two power levels 0 and P are available.

To find  $P_{opt}$ , we first find  $P_{min}$ , the minimum (fixed) transmit power level per active slot for which a feasible schedule exists.  $P_{min}$  can be found via binary search as follows: Initialize  $P = \min\{P'_1/M, P'_2/M, \dots, P'_N/M\}$ , where  $P'_i$  is obtained by extending Eq 19 to N nodes. We will assume  $P_{min} \ge 1$  for notational convenience below<sup>2</sup>. While  $\bar{R}^P_{opt} = \phi$ , set P = 2P and run algorithm  $\mathcal{A}^P$ . The values of all rate vectors increase with P and hence the process will terminate with  $\bar{R}^P_{opt} \ne \phi$ . Let  $P_m$  be the terminating value of P which is found in  $\lceil \log_2 P_{min} \rceil$  calls.  $\lceil P_{min} \rceil$  can then be obtained through binary search in the interval  $[P_m/2, P_m]$  with  $O(\log_2(P_m/2))$  further calls to  $\mathcal{A}^P$ . Thus we have,

**Proposition 2:**  $\lceil P_{min} \rceil$  can be found in  $O(\lceil \log_2 P_{min} \rceil)$  calls to the FPAS  $\mathcal{A}^P$ .

The following proposition defines an upper bound for  $P_{max}$ :

**Proposition 3:**  $P_{max} = \left(\frac{\sum_{1}^{N} \mu_i}{N}\right) P_{min}$  and  $P_{opt}$  can be found by searching in an interval of size  $O((M-1)P_{min})$ .

Proof: Let  $P_{max}$  be as defined above. For any  $P > P_{max}$  we have,  $E_{\mathcal{A}}^{P} > \left(\frac{\sum_{1}^{N} \mu_{i}}{N}\right) P_{min}t_{P}$ , where  $t_{P}$  is the total number of active slots under algorithm  $\mathcal{A}^{P}$ . Since each node is active for at least one slot in a valid schedule, we have  $E_{\mathcal{A}}^{P} > P_{min} \sum_{1}^{N} \mu_{i}, \forall P > P_{max}$ . Also, by definition we have  $E_{\mathcal{A}}^{P_{opt}} \leq E_{\mathcal{A}}^{P_{min}} \leq P_{min} \sum_{1}^{N} \mu_{i}$ . Combining the two, we get  $E_{\mathcal{A}}^{P_{opt}} < E_{\mathcal{A}}^{P}$  for all  $P > P_{max}$  as desired. Finally, since  $\mu_{i} \leq M$  and  $P_{opt} \in [P_{min}, P_{max}]$ , it can be found by searching in an interval of size  $O((M-1)P_{min})$ .

Note that the above bound on  $P_{max}$  is independent of the number of users N. For the special case of N = 2, we can obtain a smaller bound on  $P_{max}$  (and hence the search space for  $P_{opt}$ ) by using the following observation based on the definition of the rate function:

**Observation 1:** Let S denote any set of slots in which a node is transmitting solo with power P achieving a total rate of  $R_S^P = \sum_{t \in S} (1/2) \log_2(1 + \alpha_{ii}^t P / \mathcal{N}_i^t)$ . Increasing the transmit power over these slots to  $2^n P$ , n = 1, 2, ..., increases the achieved rate by less than n|S|/2, i.e  $R_S^{2^n P} < R_S^P + n|S|/2$ .

Let  $S_1^P$ ,  $S_2^P$  and  $T^P$  be the set of time slots occupied by node 1 only, node 2 only and both nodes, under the schedule created by  $\mathcal{A}^P$ . Let  $R_{i,S}^P$  denote the total rate obtained by node i, i = 1, 2, over any set of slots S in this schedule. Let  $S_{i,s}^P \subset S_i^P$  represent the set of  $\lfloor |S_i^P|/2 \rfloor$  time slots with the smallest achievable *solo* rates (i.e  $(1/2) \log_2(1 + \alpha_{ii}^t P/\mathcal{N}_i^t)$ ) among the slots in  $S_i^P$  and let  $S_{i,l}^P$  denote the remaining  $\lceil |S_i^P|/2 \rceil$  slots. Let  $K_1 \subset T^P$  ( $K_2$ , resp.) be the smallest subset of slots such that the total rate obtained by node 1 (node 2, resp.) over these slots when transmitting solo at power 2P is  $\geq R_{1.TP}^P$  ( $\geq R_{2.TP}^P$ , resp.). These slots can be determined by selecting the best slots for node 1/node 2 in  $T^P$  after sorting by decreasing solo rates using power 2P. Also let  $K_i^s$  represent the worst set of  $\lfloor |K_i|/2 \rfloor$  slots for node *i* in  $K_i$ , with  $R_{i,K_i^s}^{2P}$  the corresponding total solo rates over these slots.

The following proposition provides a sufficient condition for finding  $P_{max}$  under a moderate interference regime, when the average solo achievable rate over the worst slots is  $\geq 1/4$ .

*Proof:* First we look at the rate impact of increasing the power over the best solo slots. We have,  $R_{i,S_i^P}^P = R_{i,S_{i,l}^P}^P + R_{i,S_{i,s}^P}^P$ , i = 1, 2. Using the first condition of the proposition, we get,

$$R_{i,S_{i,l}^{P}}^{P} \le R_{i,S_{i}^{P}}^{P} - (\lceil |S_{i}^{P}|/2 \rceil)/2$$
(32)

Now consider the best set of  $\lceil |S_i^P|/2^n \rceil$  solo slots for node i, n = 1, 2... Suppose we transmit over these  $\lceil |S_i^P|/2^n \rceil$  slots with power  $2^n P$  and zero power over the rest of the slots from  $S_i^P$ . The new energy cost over  $S_i^P$  is at least as much as the energy cost using power P.

The new rate achieved over  $S_i^P$  is:

$$\begin{array}{lcl} R^{2^nP}_{i,S^P_i} & < & R^P_{i,S^P_{i,l}} + \frac{n}{2} \lceil |S^P_i|/2^n \rceil & \leq R^P_{i,S^P_i} \end{array}$$

by using Observation 1 and then Eq. 32.

Thus increasing the power over  $S_i^P$  will reduce the rate while keeping the energy cost at least the same as before. Hence  $P_{max} < 2P$  over the set of solo slots.

Next we consider the set of jointly active slots  $T^P$ . Since  $K_i$  represents the best slots for node i in  $T^P$ , condition 2, i.e.  $K_1 + K_2 \ge T^P$ , implies that the minimum total energy required to simultaneously obtain a rate of  $R_{i,T^P}^P$ , i = 1, 2, over any subset of  $T^P$  while using power 2P is  $\ge 2PT^P$  (which is the energy consumption when both nodes are using power P). Now applying Observation 1 to condition 3 in a similar manner as for the solo slots, we find that increasing power after 2P will not lead to a more efficient energy solution, and thus  $P_{max} < 2P$ .

Finally, we use the above bounds on  $P_{max}$  to obtain a 2-approximation for  $E_{\mathcal{A}}^{P_{opt}}$ : the energy of the optimal (minimum energy) schedule for N nodes transmitting at fixed power  $P_{opt}$  over M slots as follows.

#### Theorem 7: Let

$$P^* = \operatorname*{argmin}_{P=2^t P_{min}, t=0,1...,\lceil \log_2 \frac{P_{max}}{P_{min}}\rceil} E_{\mathcal{A}}^P$$

Then  $E_{\mathcal{A}}^{P^*}$  is a 2-approximation to  $E_{\mathcal{A}}^{P_{opt}}$ , the minimum energy schedule generated by the optimal transmit power  $P_{opt}$ . The

<sup>&</sup>lt;sup>2</sup>For notational convenience, we normalize  $P_{min}$  as 1. This figure could have any unit, subject to a real system constraint. For example, in 802.11, to reach a distance of 40m, a transmission power in the amount of 1 mW is used [27].

algorithm for finding  $E_{\mathcal{A}}^{P^*}$  uses  $\lceil \log_2 \frac{P_{max}}{P_{min}} \rceil = O(\log_2 M)$  calls to  $\mathcal{A}^P$ .

Proof: We run the  $\mathcal{A}^P$  algorithm starting with  $P = P_{min}$ and doubling P with each iteration until we reach a  $P_{max}$ as defined by propositions 3 or 4. We claim that the energy of any solution using power  $P_a : P \leq P_a \leq 2P$ , satisfies  $E_{\mathcal{A}}^{P_a} \geq (1/2) \min(E_{\mathcal{A}}^P, E_{\mathcal{A}}^{2P})$ . Let  $t_P$  denote the total number of active slots for N users under power P. If  $E_{\mathcal{A}}^P \leq E_{\mathcal{A}}^{2P}$ , then we must have  $t_P \geq t_{P_a} \geq t_{2P} \geq t_P/2$ , using the fact that the number of active slots in a solution cannot increase as we increase the power. Thus  $E_{\mathcal{A}}^{P_a} = P_a t_{P_a} \geq P t_P/2 = (1/2) E_{\mathcal{A}}^P$ . Conversely if  $E_{\mathcal{A}}^{2P} \leq E_{\mathcal{A}}^{A}$ , then  $P_a \geq P$  and  $t_{P_a} \geq t_{2P}$ together imply that  $E_{\mathcal{A}}^{P_a} \geq P t_{2P} = (1/2) E_{\mathcal{A}}^{2P}$ . When the algorithm above is implemented, the total energy can oscillate between  $E_{\mathcal{A}}^{P_{min}}$  and  $E_{\mathcal{A}}^{P_{max}}$  as we sequentially double the power. Let  $P^*$  be the power yielding the minimum

When the algorithm above is implemented, the total energy can oscillate between  $E_{\mathcal{A}}^{P_{min}}$  and  $E_{\mathcal{A}}^{P_{max}}$  as we sequentially double the power. Let  $P^*$  be the power yielding the minimum energy among the iterations and choose  $E_{\mathcal{A}}^{P^*}$  as the output of our algorithm. By the previous arguments,  $E_{\mathcal{A}}^{P^*} \leq 2E_{\mathcal{A}}^{P_{opt}}$  and therefore this algorithm is a 2-approximation. Since  $P_{max} = O(MP_{min})$ , the number of iterations is  $O(\log_2 M)$ .

### VII. CONCLUSIONS

We have considered the problem of finding a minimum energy transmission schedule for duty-cycle and rate constrained wireless networks. Since traditional optimization methods using Lagrange multipliers are computationally expensive given the non-convex constraints, we develop fully polynomial time approximation schemes by considering restricted versions of the problem using discrete power levels. We derive a  $(1, 1+\epsilon)$ -FPAS for MESP that approximates the optimal energy consumption and rate constraints to within an  $1 + \epsilon$ -factor.

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