# **02-1** Components of CPU Performance and Performance Equation

02-1

Why is my computer fast (or slow)?

Would it help to improve ?

CPU performance equation is one way to start answering these questions.

CPU Performance Decomposed into Three Components:

- Clock Frequency  $(\phi)$ Determined by technology and influenced by organization.
- Clocks per Instruction (CPI)

  Determined by ISA, microarchitecture, compiler, and program.
- Instruction Count (IC)

  Determined by program, compiler, and ISA.

These combined to form CPU Performance Equation

$$t_{\rm T} = \frac{1}{\phi} \times {\rm CPI} \times {\rm IC}$$

where  $t_{\rm T}$  denotes the execution time.

CPU Performance: Simple System

Execution in program order ...

... one at a time.

Time/cycles: 0 1 2 3 4 5 6 7 8 9 10 11 1,999,996

Time/mms: 0 80 160 39,999,920

Instr. 1 Instr. 2 Instr. 3 000 Instr. 500,000

IC = 500,000;  $\phi = 50 \text{ kHz};$  CPI = 4.

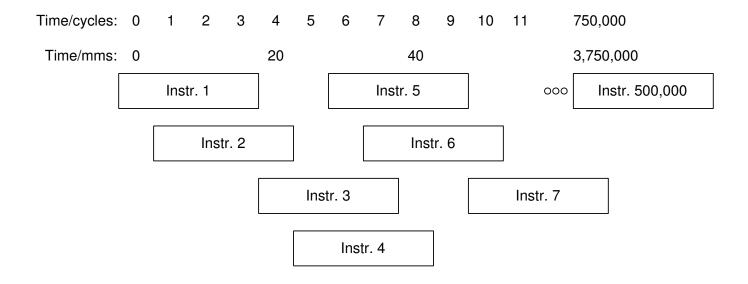
Execution time: IC  $\times$  CPI.  $\times$  clock period.

Here (and only here) CPI is number of cycles for each instruction.

To Run Faster: Overlap Instructions (Pipelined Execution)

Result must be same as one-at-a-time execution ...

... not too difficult to achieve.



$$IC = 500,000;$$

$$\phi = 200 \, \mathrm{kHz};$$

IC = 500,000; 
$$\phi = 200 \,\text{kHz}$$
; CPI =  $\frac{750000}{500000} = 1.5$ .

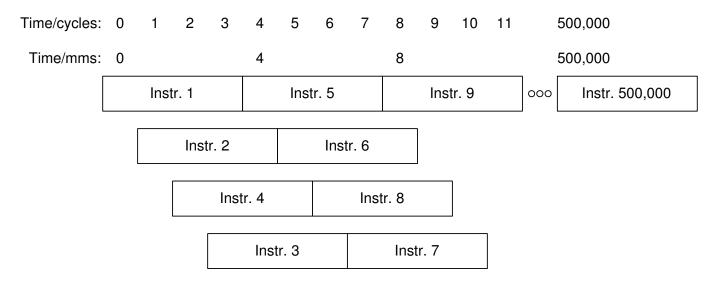
Execution time at best:  $IC \times clock period \dots$ 

... assuming 1 cycle to start each instruction and ...

... instruction can start each cycle. (Slower in illustration.)

To Run Even Faster: Overlap Instructions and Start Out of Order

Sometimes skip an instruction and execute it later.



IC = 500,000; 
$$\phi = 200 \,\text{kHz}$$
;

CPI = 1.

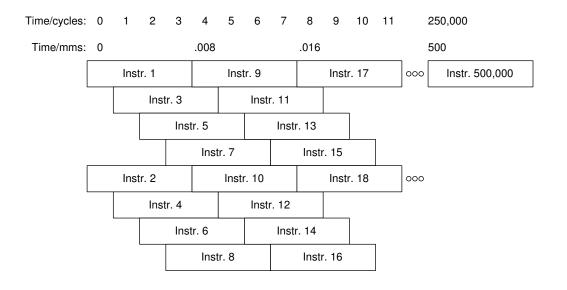
Execution time at best:  $IC \times clock period \dots$ 

... assuming 1 cycle to start each instruction ...

... instruction can start each cycle.

To Run Fastest<sup>1</sup>: Overlap, Out-of-Order, Start n per Tick (n-Way Superscalar).

Requires about n times as much hardware. (Below, n = 2.)



IC = 500,000; 
$$\phi = 500 \,\text{MHz}$$
; CPI =  $\frac{1}{2}$ .

Execution time at best:  $\frac{1}{n} \times IC \times clock period ...$ 

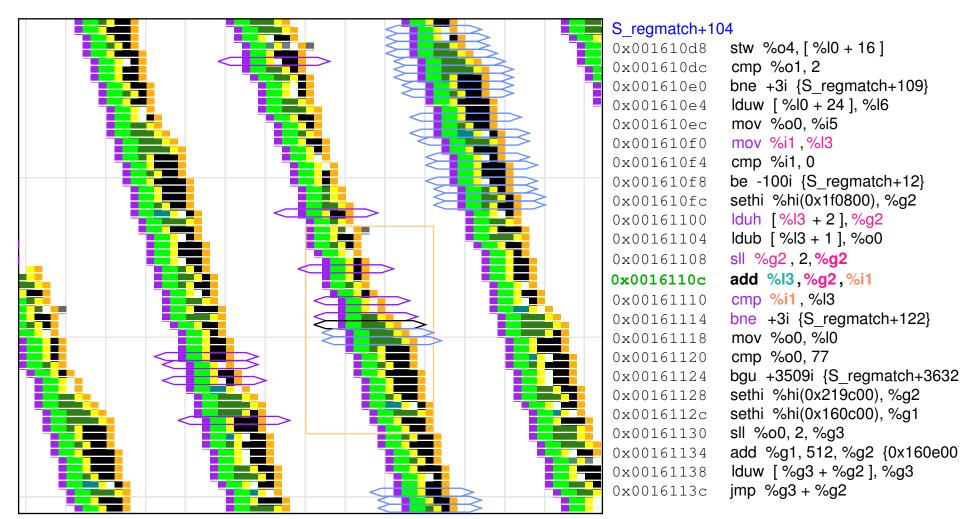
... assuming 1 cycle to start each instruction instruction can start each cycle.

<sup>&</sup>lt;sup>1</sup> Using a conventional serial instruction set architecture.

Data from a real program, perl. CPI is 0.44.

Processor can start four instructions per cycle.

Colors show the steps in processing an instruction, yellow is execution.



Given a program there are two ways instructions could be tallied:

### Static Instruction Count:

The number of instructions making up the program.

## Dynamic Instruction Count (IC):

The number of instructions executed in a run of the program.

For estimating performance, dynamic instruction count is used.

Example, assembler program that computes  $a = \sum_{i=0}^{9} i$ .

Written in Simplescalar assembler.

```
IC
              r5, r0 ! r0 is always zero.
       move
1
              r3, r0
       move
      L23:
                          ! Branch label.
10
       addu r5, r5, r3 ! Add unsigned.
       addu r3, r3, 1
10
              r2, r3, 10 ! r2 = r3 < 10
10
       slt
               r2, r0, L23 ! Branch to L23 if r2 not equal 0.
10
       bne
```

Static count: 6 (number of instructions).

Dynamic count: 42.

CPUs implemented using synchronous clocked logic.

## Typical Clock Cycle

- When clock switches from low to high work starts.
- While clock is high work proceeds.
- When clock goes from high to low work should be complete.

Clock frequency determined by *critical path*.

#### Critical Path:

Logic doing most time consuming work (in a cycle).

If clock frequency is too high work will not be completed ... ... and so system will not perform properly.

For high clock frequencies, keep critical paths short.

Cycles (clocks) per Instruction (CPI)

Oversimplified definition: *CPI*:

Average number of cycles needed to execute an instruction.

Better definition: *CPI*:

Number of cycles to execute some code divided by number of instructions. This is approximately the average number of cycles between instruction initiations (instruction starts).

Difference between simple and better definition:

Interested in rate at which instructions executed in program ...

... not time time for any one instruction.

$$t_{\rm T} = \frac{1}{\phi} \times {\rm CPI} \times {\rm IC}$$

where  $t_{\rm T}$  denotes the execution time.

- Clock Frequency  $(\phi)$ Determined by technology and influenced by organization.
- Clocks per Instruction (CPI)

  Determined by organization and instruction mix.
- Instruction Count (IC)

  Determined by program and ISA.

Tradeoffs between Clock Frequency, CPI, and Instruction Count

Increasing Clock Frequency ...

... reduces the work that can be done in a clock cycle ...

... and possibly limiting instruction overlap, therefore increasing CPI.

Reducing IC (by adding "powerful" instructions to ISA) ...

... may force implementors to increase CPI or lower clock frequency.

Balancing these is an important skill in computer design.

Since the ISA is usually fixed, IC is less of a factor.

Company X is considering two clock frequencies for its next processor, 500 MHz or 400 MHz. A 500 MHz implementation would execute instructions at 1.7 CPI, the 400 MHz part at 1.1 CPI. Which would be faster?

Find time to execute 1 instruction.

500 MHz execution time:  $\frac{1}{500\times10^6}\times1.7\times1=3.4\,\mu\mathrm{s}$ 

400 MHz execution time:  $\frac{1}{400\times10^6}\times1.1\times1=2.75\,\mu\text{s}$ .

The lower clock rate would nevertheless take less time.

Perhaps because at 500 MHz too much work had to be split into multiple cycles.

# Assumption

IC is based on output of a good compiler.

Compiler is tuned for a particular implementation.

### Two Cases

- 1. Same ISA, different implementation.
- 2. Different ISA, (and of course) different implementation.

Case 1: Same ISA, different implementation.

Newer implementation may have lower CPI on existing code . . .

- ... but even better performance attainable by recompiling ...
- ... which may increase CPI.

Compiler writer selects instructions based on performance of implementation.

### Consider two implementations:

Implementation A: add CPI impact 1 cycle, mul CPI impact 5 cycles.

Implementation B: add CPI impact 1 cycle, mul CPI impact 2 cycles.

```
! Call original value of r1, x. Code computes 6x.

! Code For Implementation A
add r1, r1, r1 ! r1 = 2x
add r2, r1, r1 ! r2 = 4x
add r1, r1, r2 ! r1 = 6x

! Code For Implementation B.
mul r1, r1, 6 ! r1 = 6x.
```

Implementation A: IC = 3, CPI = 1 (Computing CPI will be covered later.)

Implementation B: IC = 1, CPI = 2.

Implementation B is faster despite higher CPI.

Code compiled for B will run slowly on A.

Case 2: Different ISA, (and of course) different implementation.

Major tradeoffs in complexity and speed.

Consider two implementations:

```
Implementation A: CPI impact: load, 2; add and store, 1.
```

Implementation B: CPI impact: add (doing load and store), 4.

```
! Code for implementation A.
load r1, [r2] ! Load r1 with data at address in r2.
add r3, r1, r4 ! r3 = r1 + r4
store [r2], r3 ! Store r3 at address in r2.
! Code for implementation B.
add [r2], r4, [r2]
```

Execution time same.

```
Implementation A: IC = 3, CPI = \frac{4}{3}.
```

Implementation B: IC = 1, CPI = 4.

### Golden Handcuffs:

The need to maintain compatibility in a successful product line.

Famously, Intel's IA-32. (Popularly referred to as 80x86.)

The ISA is the handcuffs...

... and technological change brings the desire to move your arms.

Technological Change and Computer Designer

Technology determines "raw materials" for designer.

Raw material: number of gates and their speed.

ISA lifetime can be decades.

Raw materials greatly change over this time.

So, design ISA for now and future.

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How technological advancement affects processor.

Logic Speed, Clock Rate

No changes to organization or ISA.

Number of Transistors Available for Logic

Changes to organization and possible changes to ISA.

Memory Size

Change ISA to use larger address space.

Can use ISA having larger instruction codings.

Memory Speed Compared to Processor Speed

Include more sophisticated caching in organization.

### Benchmark:

Program used to evaluate performance.

#### Uses

- Guide computer design.
- Guide purchasing decisions.
- Marketing tool.

## Guiding Computer Design

Measure overall performance.

Determine characteristics of programs.

E.g., frequency of floating-point operations.

Determine effect of design options.

Important: Choice of programs for evaluation.

Optimal but unrealistic:

The exact set of programs customer will run.

Problem: computers used for different applications.

Therefore, must model typical users' workload.

## Options:

### Real Programs:

Programs chosen using surveys, for example.

- + Measured performance improvements apply to customer.
- Large programs hard to run on simulator. (Before system built.)

#### Kernels:

Use part of program responsible for most execution time.

- + Easier to study.
- Not all program have small kernels.

### Toy Benchmarks:

Program performs simplified version of common task.

- + Easier to study.
- May not be realistic.

## Synthetic Benchmarks:

Program "looks like" typical program, but does nothing useful.

- + Easier to study.
- May not be realistic.

# Commonly Used Option

Overall performance: real programs

Test specific features: synthetic benchmarks.

### Benchmark Suite:

A named set of programs used to evaluate a system.

## Typically:

- ullet Developed and managed by a publication or non-profit organization. E.g., Standard Performance Evaluation Corp., PC Magazine.
- Tests clearly delineated aspects of system. E.g., CPU, graphics, I/O, application.
- Specifies a set of programs and inputs for those programs.
- Specifies reporting requirements for results.

# What Suites Might Measure

- Application Performance E.g., productivity (office) applications, database programs. Usually tests entire system.
- CPU and Memory Performance Ignores effect of I/O.
- Graphics Performance

## Example, SPEC CPU2000 Suites

Respected measure of CPU performance.

Managed by Standard Performance Evaluation Corporation,...

...a non-profit organization funded by computer companies.

Measures CPU and memory performance on integer and FP code.

Uses common Unix programs such as perl, gcc, gzip.

Requires that results on each program be reported.

Programs compiled with publicly available compilers and libraries.

Programs compiled with and without expert tuning.

### SPEC CPU2000 Suites and Measures

Suite of integer programs run to determine:

- SPECint2000, execution time of tuned code.
- SPECint\_base2000, execution time of untuned code.
- SPECint\_rate2000, throughput of tuned code.
- SPECint\_rate\_base2000, throughput of untuned code.

Suite of floating programs run to determine:

- SPECfp2000, execution time of tuned code.
- SPECfp\_base2000, execution time of untuned code.
- SPECfp\_rate2000, throughput of tuned code.
- SPECfp\_rate\_rate2000, throughput of untuned code.

# Other Examples

(Fall 2001: This list is out of date.)

BAPCO Suites, measure productivity app. performance on Windows 95.

TPC, measure "transaction processing" system performance.

WinMARK, graphics performance.